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2019 GOLDRICK SEMINAR

18 SEPTEMBER 2019





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The Australian Naval Institute and the University of New South Wales Canberra jointly hold the 2019 Goldrick Seminar at the Australian Defence Force Academy. This seminar is also supported by the Royal Australian Navy's Sea Power Centre - Australia and the Submarine Institute of Australia.

This year's seminar is titled Maritime Trade and its Implications for Australia's Defence, a topic which was selected by the Chief of Navy.

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PROGRAM

8:30 – 9:00 **Registration**

9:00 – 9:10 **Welcome**

Master of Ceremonies

Lieutenant Commander Desmond Woods, RAN

Framing of Day

Professor Rob McLaughlin, Professor of Military and Security Law, UNSW Canberra

Welcome

Professor Harvinder Sidhu, Deputy Rector, UNSW Canberra

9:10 – 9:20 **Keynote**

Vice Admiral Michael Noonan, AO, RAN, Chief of Navy

9:20 – 10:50 **Session I: Contemporary Environment**

Moderator

Captain Sean Andrews, RAN

9:20 – 9:40 **Context Setting: Facts and Figures**

Lieutenant Commander Mark Bailey, RAN

9:40 – 9:55 **Business Perspective**

Teresa Lloyd, Chief Executive Officer, Maritime Industry Australia

9:55 – 10:15 **Maritime Trade Dependencies and Risks**

Air Vice-Marshal John Blackburn, AO, RAAF (Ret'd)

10:15 – 10:30 **Commercial Perspective**

Rod Nairn, AM, CEO, Australian Shipping Limited

10:30 – 10:50 **Discussion**

10:50 – 11:20 **Morning Tea**

11:20 – 13:00 **Session II: Different Perspectives in Protecting Maritime Trade**

Moderator

Commodore Jack McCaffrie, RAN (Ret'd)

11:20 – 11:30 **Historical Perspective**

VADM Peter Jones, AO, DSC, President, Australian Naval Institute

- 11:30 – 11:45** **Recent Perspective**
Miseje De Vogel, Australian War Memorial
- 11:45 – 12:00** **Current Trade Protection – Australia**
Commodore Matt Buckley, CSC, RAN, Director General Maritime Operations
- 12:00 – 12:15** **Current National Perspective - France**
Captain Philippe Petitdidier, Defence Attaché, Embassy of France in Australia
- 12:15 – 12:30** **Current National Perspective - New Zealand**
CDR Stephen Lenik, Naval Adviser, NZ Defence Staff - Canberra
- 12:30 – 13:00** **Discussion**
- 13:00 – 14:00** **Lunch**
- 14:00 – 15:30** **Session III: Policy Implications**
Moderator
Commodore Allison Norris, CSC, RAN
- 14:00 – 14:15** **Trade and Commerce Dynamics in the South China Sea Dispute**
Dr Pichamon Yeophantong, School of Humanities and Social Sciences, UNSW Canberra
- 14:15 – 14:30** **Current National Perspective - Australia**
Rob McKinnon, Assistant Secretary, National Security and Intelligence Branch,
Department of Foreign Affairs and Trade
- 14:30 – 14:45** **Legal Perspective**
Professor Rob McLaughlin, Professor of Military Security Law, UNSW Canberra
- 14:45 – 15:00** **Current RAN Perspective**
Commodore Brett Sonter, Director General Military Strategy, RAN
- 15:00 – 15:30** **Discussion**
- 15:30 – 15:45** **Closing Remarks**
Commodore Peter Leavy, CSM, RAN, ADFA Commandant

SPEAKERS

Captain Sean Andrews, RAN

Sean Andrews is a Principal Warfare Officer and Under Sea Warfare specialist. Receiving a commission in 1990 as a Seaman Officer, he has completed extensive sea service in Destroyers, Frigates and Patrol Boats. Captain Andrews has enjoyed the full range of operational sea postings including Command. Sean is a graduate of the Australian Command and Staff College, and represented the RAN at the US Army Command and General Staff College at Fort Leavenworth, where he attended the Joint Advanced Warfighting School.

Sean has embedded and deployed with United States forces, which include the US Navy's Seventh Fleet and the US Army's 10th Mountain Division. Sean has deployed extensively in all theatres of contemporary operations, including Afghanistan. More recently, he has experienced a wide range of Navy and Joint postings. Highlights include postings as Directing Staff at the Australian Command and Staff College and Deputy Director in Contestability – Force Design.

Sean's advanced research specialises in International Relations, Maritime Strategy, Naval and National Policy. He writes and presents internationally on issues of maritime character.

Lieutenant Commander Mark Bailey, RAN

Mark Bailey completed his Doctorate at the Australian Defence Force Academy in 2019. He joined the Royal Australian Naval College in 1979 as a Seaman Officer. He began researching trade protection in the early 1990s and undertook the RAN Staff Course in 1993, subsequently specialising in intelligence at the Maritime Intelligence Centre and Joint Intelligence Centre before transferring to the RAAF as an Intelligence Officer, transferring to the Active Reserve in 2002. He rejoined the RAN Active Reserve in 2015 as a Maritime Trade Operations Branch Lieutenant-Commander. From this time he has been near continuously on full-time service. He is currently the Staff Officer Naval Force Analysis in the Directorate of Navy Capability Planning.

Mark has published articles ranging from the Imperial Japanese Army's transport submarine fleet of WWII and has researched and written extensively about the Imperial trade system, its performance, management and protection in the global wars, and Australia's role in that system. He is a member of the Naval Studies Group and is currently running the international 'Cradock Project' with the Irish scholar John French. This is a complete, primary source re-evaluation of Rear Admiral Christopher Cradock's mission performance up to and including the Battle of Coronel. He intends to publish this as 'Cradock's Choice' in 2020 under US Naval Institute (USNI) auspices before developing a book from his thesis (tentatively entitled 'Foundation of Victory') under USNI auspices but also part of the 'Corbett 100' project. He is acting as Australian liaison for the King's College-USN War College 'Corbett 100' project.

Air Vice-Marshal John Blackburn AO, RAAF (Ret'd)

John Blackburn is a consultant in the field of Defence and National Security. In 2007 he was appointed an Officer in the Military Division of the Order of Australia (AO).

John retired from the Royal Australian Air Force in 2008 as the Deputy Chief of the Air Force following a career as an F/A-18 fighter pilot, test pilot and strategic planner. John's senior posts included Commander of the Integrated Area Defence System (IADS) located in Malaysia, commanding a multi-national headquarters established to effect the Five Power Defence Arrangements, and Head of Strategic Policy in the Defence Headquarters.

Since 2008, John has consulted in the fields of Defence and National Security and has undertaken a number of related studies under the Institute for Integrated Economic Research - Australia, the Kokoda Foundation (now the Institute For Regional Security) and the Sir Richard Williams Foundation.

Commodore Matt Buckley CSC, RAN

Matt Buckley joined the Royal Australian Navy in January 1990, graduating from the Australian Defence Force Academy in December 1992. As a Junior Maritime Warfare Officer he initially served in Surface Combatants before specialising as a Submariner in 1996. Matt completed his Junior Warfare Officer postings serving in Oberon class submarines, and later with the Royal Canadian Navy as a Submarine Navigator based in Halifax Nova Scotia. Matt returned to Australia in 2001, completing the Submarine Warfare Officers course, receiving the Sword of Excellence for Warfare Skills.

As a Lieutenant Commander, Matt served as the commissioning Operations Officer, and later as Executive Officer, of HMAS *Rankin*. This tenure included the submarine being recognised as the best unit in the Fleet receiving the Duke of Gloucester Cup in 2004. Matt completed the Netherlands Submarine Command Course (Perisher) and the United States Navy Submarine Command Course in 2006. He has commanded HMAS *Collins*, and also served as Commander Sea Training Submarines, and Executive Officer of the Navy's Maritime Warfare Training Establishment HMAS *Watson*. In 2012, Matt served as the Director Submarine Capability in Navy Strategic Command, before assuming Command of the Australian Submarine Force in 2014.

Matt completed the Defence and Strategic Studies Course in 2017, receiving the Secretary - Chief of Defence Force Award; and was then posted as Director General Maritime Operations. Matt was awarded the Conspicuous Service Cross in the Queen's Birthday Honours List in June 2015 for his contribution to the Submarine Capability. He has been awarded a Bachelor of Arts and a Masters of Strategy and Policy from the University of New South Wales, and a Masters of International Relations from Deakin University.

SPEAKERS

Miseje De Vogel

Miesje de Vogel is a doctoral candidate with the School of Humanities and Social Sciences at the University of New South Wales (Canberra), focusing on Australian war financing during the Second World War. She has previously worked at the Australian War Memorial on the Official History of Australian Peacekeeping in East Timor and the Official History of Australian Peacekeeping, Humanitarian and Post–Cold War Operations. She has written two chapters on the involvement of the Australian Federal Police, civilian election monitors, and the Australian Army in Mozambique for a forthcoming series publication on Australian peacekeeping commitments in Africa. She is also currently part of the War Memorial’s project examining the medical legacies of the Vietnam War, conducting interviews with veterans in the New South Wales and Queensland regions.

Vice Admiral Peter Jones AO DSC, RAN (Ret’d)

Peter Jones is both the President of the Australian Naval Institute and an Adjunct Professor at the University of NSW (Canberra). During his 40 year naval career he commanded HMAS *Melbourne*, the multinational Maritime Interception Force during the Iraq War and the Capability Development Group. He is author of *Australia’s Argonauts*. He is producer of UNSW’s Australian Naval History podcast series.

Commodore Peter Leavy CSM, RAN

Peter Leavy joined the Royal Australian Naval College in 1984 and transferred to the inaugural graduating year of the Australian Defence Force Academy in 1986. His initial postings saw him awarded his Bridge Watchkeeping Certificate, serving as a Principle Warfare Officer, then as a Warfare Officer, and as an Anti Submarine Warfare Officer. In 1998 he assumed duties as the Officer in Charge of the RAN Tactical Electronic Warfare Support Section where he was involved in support to ADF operations in East Timor before joining HMAS *Darwin* as the Executive Officer in April 2000.

In 2002 he completed the Australian Command and Staff Course, graduating with a Masters of Management (Defence Studies). In January 2003 he posted to Navy Headquarters in Canberra as the Deputy Director Future Maritime Warfare, but was immediately seconded as the Chief of Staff to Commander Task Group 633.1 operating in the North Arabian Gulf during OPERATION FALCONER (Iraq).

Peter returned to Navy Headquarters on completion of this secondment and in 2004 was selected to command HMAS *Stuart* (FFH 153). He joined *Stuart* in May 2005 and completed a wide range of activities, including EXERCISE RIMPAC and visits and exercises with a number of other Pacific and South East Asian nations. He then assumed duties as the Director of the Sea Power Centre - Australia upon promotion to Captain in 2007. Peter served as CTG 158.1 in the North Arabian Gulf in late 2008 before assuming command of HMAS *Sydney* (FFG03) in 2009 and deploying for NORTHERN TRIDENT 09, a global deployment in company with HMAS *Ballarat*.

Peter completed his time in command of HMAS *Sydney* undertaking SM2 Acceptance Test firings in Hawaii in June 2011 before posting to Canberra as the Director of Navy Personnel Policy in August. He was promoted to Commodore and posted as Director General Navy People in May 2012, before posting as Commodore Warfare in January 2013. In this capacity he led the ADF response to the search for MH 370 and served as the Expeditionary Strike Group commander for EXERCISE RIMPAC 14 and the Deputy Maritime Component Commander for EXERCISE TALISMAN SABRE 15. He posted as the Australian Naval Attaché to the United States in June 2016 and joined the Australian Defence Force Academy as Commandant on 11 February 2019.

Peter holds a Bachelor of Science (Hons), Master of Arts (Maritime Policy) and a Master of Management (Defence Studies).

SPEAKERS

Commander Stephen Lenik, RNZN

Steve Lenik joined the Royal New Zealand Navy in January 1995. His service has included two operational tours to the North Arabian Gulf, East Timor, the Solomon Islands and the Sub-Antarctic as well as a land-based mission to Southern Lebanon.

He is a graduate of the 2003 Royal Australian Navy Principal Warfare Officer course and has completed the UK Advanced Command and Staff Course graduating with a Master of Arts degree in Defence Studies from King's College, London.

From April 2016 – August 2018 Commander Lenik was appointed as the Commanding Officer of the ANZAC frigate HMNZS TE KAHA. For operations with the USS NIMITZ Carrier Strike Group 11 in 2017, TE KAHA was awarded a Meritorious Unit Commendation by the United States Chief of Naval Operations.

Commander Lenik took up his current post as the Naval Adviser to Canberra in October 2018.

Teresa Lloyd

Teresa is the Chief Executive Officer of Maritime Industry Australia, where she has worked for over 16 years on a variety of issues including shipping policy, employment and training, environment, health and safety, and vessel operations. She holds a degree in Naval Architecture from the Australian Maritime College and a Masters in Business Administration.

Teresa is a member of a diverse range of industry advisory and management committees. Internationally Teresa represents the Australian industry within the International Chamber of Shipping and Asian Shipowners Association. She was the Australian shipowner representative at the United Nations International Labour Organisation during the Maritime Labour Convention adoption; attended numerous IMO sessions during the Ballast water convention development and chaired the International Chamber of Shipping, Environment Sub-Committee from 2006 – 2013.

Teresa's achievements include the Australian Maritime College 2011 Peter Morris Medal; the Outstanding Achievement Award 2010 from the Company of Master Mariners and the Outstanding Woman of the Year in the Workforce Award in 1999 from the National Centre for Women. Teresa Lloyd has served the AMC Board, Maritime Industry Foundation Board and is currently a Board Member of ANCORS.

Prior to joining MIAL Teresa worked as the Manager Technical Services for the Port Hedland Port Authority; managed a project on the management of ships' ballast water for the Victorian Environment Protection Authority; and worked as a consultant developing underkeel clearance management systems.

Commodore Jack McCaffrie, RAN (Ret'd)

Jack McCaffrie is a Visiting Fellow at the Australian National Centre for Ocean Resources and Security at Wollongong University, where he was recently awarded his PhD. He retired as a Commodore from the RAN in February 2003 on returning from his final posting as Naval Attaché, Washington. Over the last 25 years he has published a number of papers on maritime strategy and naval history in Australia, Asia and the US. With James Goldrick, he is co-author of *Navies of South East Asia: A Comparative Study*, published in 2012 and was lead author for the second edition of the RAN publication *Australian Maritime Operations*.

Robert McKinnon

Robert McKinnon is the Assistant Secretary, National Security and Intelligence Branch in the Department of Foreign Affairs and Trade's International Security Division. This role covers strategic and defence matters and intelligence liaison.

Prior to this, he worked in the Office of National Assessments from 2003-2016 as a senior international economics analyst, as ONA's liaison to the US intelligence community, and most recently as Assistant Director-General, Executive and Foreign Intelligence Coordination. In this role, he managed ONA's statutory intelligence policy, coordination, and evaluation roles as well as senior executive support and foreign engagement.

He commenced his career in the Department of Defence followed by time with the Australian Government's overseas aid program and the Department of Finance. He served overseas in Washington as Minister-Counsellor from 2007 to 2012.

He has been educated at the University of Queensland and the University of London.

SPEAKERS

Professor Rob McLaughlin

Robert McLaughlin is Professor of Military Security Law at UNSW Canberra. Prior to taking up this appointment he was on the faculty of the College of Law at the Australian National University, and from 2012-2014 he served as the inaugural Head of the UN Office on Drugs and Crime's Maritime Crime Program (for which he continues to regularly consult).

Before becoming an academic, Rob served in the Royal Australian Navy for several decades as both a Seaman Officer and a Legal Officer. He served in surface units and submarines, and deployed to East Timor, Iraq, and on maritime border protection operations. As a lawyer, he served as Fleet Legal Officer, the Strategic Legal Adviser, Director of the Naval Legal Service, and Director of Operations and International Law in the Department of Defence. In a reserve capacity he continues to serve as an Assistant Inspector General of the Australian Defence Force. He holds degrees in history, law, and international relations, and earned his PhD at Cambridge. His research areas are law of the sea, maritime law enforcement, the law of armed conflict, and national security law.

Rod Nairn AM

Since being appointed as CEO of Shipping Australia Limited in 2013 Rod has focused on promoting good shipping policies that support efficient and sustainable shipping operations. He has been prominent in policy debate on shipping levies, coastal shipping, competition, port pricing and port privatisation while promoting environmental responsibility, shipping safety and seafarer welfare.

Prior to his appointment at Shipping Australia, Rod had a distinguished naval career culminating with eight years as the longest serving Hydrographer of Australia. In this role he was responsible for the production of nautical charts and services to enable shipping to navigate safely around Australia. During his tenure he introduced contracted hydrographic surveys, accelerated chart production and delivered full electronic navigational chart coverage of Australia to support the introduction of ECDIS. He was made a Member of the Order of Australia for services to Hydrography in 2012.

Since going to sea Rod has seen four decades of technological changes in navigation and shipping. He holds tertiary qualifications in surveying, strategic studies and management, and is a graduate of the Royal Naval Staff College, the Australian College of Defence Strategic Studies and the Australian Institute of Company Directors. He operates a hydrographic and maritime consultancy company and is a member of the International Board for standards of competence for hydrographic surveyors and nautical cartographers.

Vice Admiral Michael Noonan AO, RAN

Vice Admiral Michael Noonan, AO, RAN joined the Royal Australian Navy in 1984, trained as a Seaman Officer and subsequently completed Principal Warfare Officers course and specialised in Air Direction and Above Water Warfare.

Throughout his career, he has had experience in a wide range of Navy and ADF operations through various sea and shore posting and operational roles.

He has fulfilled leadership positions at all levels of the Australian Defence Force, with senior positions including the Director of Military Strategic Commitments, Director General of Operations at HQJOC, Command of Maritime Border Command and Deputy Chief of Navy.

Vice Admiral Noonan assumed command of the RAN on 7 July 2018 and in this role, he is entrusted by Government to be its principal naval advisor, and to raise, train and sustain Australia's naval forces to execute maritime missions in a dynamic region.

Commodore Allison Norris CSC, RAN

Commodore Allison Norris CSC, RAN (nee Horder) joined the Royal Australian Navy in 1987 as a Seaman Officer, specialising in Above Water Warfare. Her sea service has included HMAS *Jervis Bay*, *Tobruk*, *Canberra*, *Adelaide*, *Sydney*, *Melbourne* and *Success*.

Her shore-based experience includes an appointment with the United States Navy, and a broad portfolio of roles, including Chief of Staff to the Chief of Defence Force. Promoted to Commodore in 2016, she is currently serving as the Director General Australian Defence Simulation and Training at Headquarters Joint Operations Command.

Her command experience includes deployment to the Middle East as Executive Officer HMAS *Melbourne* and later returning to Melbourne as Commanding Officer. During her tenure as Commanding Officer, HMAS *Success* Allison fulfilled the role as On Scene Commander during the search for the missing Malaysian Airlines Flight MH 370.

Commodore Norris' operational experience includes Operations CATALYST and SLIPPER (Middle East), DAMASK and OPERATION BASTILLE (East Timor) and SOUTHERN INDIAN OCEAN.

Allison Norris holds a Bachelor of Science from the University of New South Wales, a Masters of Management (Defence Studies) from the University of Canberra and a Masters of Maritime Studies from the University of Wollongong. She was awarded the RSL Sword for the highest results during Seaman Officer Training, was a finalist in the 2010 Telstra Business Women's Awards in the Community and Government Category for the ACT, and awarded the Conspicuous Service Cross in the 2015 Queen's Birthday Honours List.

SPEAKERS

Captain Philippe Petitdidier, French Navy

Philippe Petitdidier graduated as a Navy Officer from the French Naval Academy in 1988. He then earned his Military Pilot licence in 1990. During his career, he has studied at the US Naval Command College, the Australian Centre for Defence and Strategic Studies and obtained an MBA from Deakin University. He was the Head of the Middle East Branch - Combined Strategic Analysis Group - JF - USCENTCOM from 2011-2014; and is currently the Defence Attaché to the Embassy of France in Australia.

Professor Harvinder Sidhu

Harvinder Sidhu is the Deputy Rector of UNSW Canberra. His research specialties are Applied and Industrial Mathematics. He has a BSc (First Class Honours and University Medal) and a PhD in Mathematics from the University of Queensland. He also has a Dip. Ed. from the National Institute of Education (Singapore). Harvinder has authored over 150 research articles, and has obtained numerous competitive grants.

Commodore Brett Sonter, RAN

Brett Sonter was born in Sydney and joined the RAN in 1990. Brett has undertaken several postings at sea. The highlights being: four Commands at sea of HMAS *Ballarat*, *Stuart*, and *Anzac*; role as Commander of the Australian Amphibious Task Group and appointed Commander of Joint Task Force 635 providing support to Fiji following Tropical Cyclone Winston.

During his career Brett has attained a Bachelor of Science Degree (UNSW – ADFA), a Master of Arts (Strategy and Policy) (UNSW-ADFA), and a Master of Science (Strategy) (US National War College). Brett also completed six months as a Senior Visiting Military Fellow at the Institute of National Strategic Studies.

In January 2018, Brett was promoted to Commodore and joined Strategic Policy Division as Director General Military Strategy Branch.

Lieutenant Commander Desmond Woods, RAN

Desmond Woods has served for more than 40 years in the RNZN, the RN, the British Army and the RAN as an education officer teaching naval and military history and strategic studies to Officers. In 2009 he was posted to the staff of the Australian Command and Staff College where he ran the CDF's Counterinsurgency conference.

He was Military Support Officer to the Defence Community Organisation in Canberra for two years before joining the staff of the RAN's International Fleet Review in 2013. This was followed by a year as Staff Officer Centenary of Anzac (Navy) before joining CN's personal staff as his Research Officer. He is now a reserve Officer serving as Navy's Bereavement Liaison Officer.

He is a Councillor of the Australian Naval Institute and the Australian Institute for International Affairs and is a regular contributor of naval articles and book reviews to Australian and international historical journals.

SPEAKERS

Dr Pichamon Yeophantong

Pichamon Yeophantong is an Australian Research Council DECRA Fellow and Senior Lecturer in International Relations and Development at UNSW Canberra. A political scientist by training, she is an expert on Chinese politics and foreign policy. Pichamon currently leads a research project on the socioeconomic and ecological impacts of Chinese investment in Southeast Asia and the South Pacific, having conducted extensive fieldwork within China and the region.

Pichamon has served as a consultant on regional and development issues to the Africa Progress Panel and Overseas Development Institute, among other organizations, and in 2018 was awarded the Australian 'Future Leader' Prize by the Council for the Humanities, Arts and Social Sciences for contributions to the HASS discipline.

Prior to joining UNSW, Pichamon was a Global Leaders Fellow at Oxford University and Princeton University, as well as an ASEAN-Canada Senior Fellow at Nanyang Technological University's RSIS Centre for Non-Traditional Security Studies.

Contact us

UNSW Canberra
Northcott Drive,
Canberra ACT 2600

 unsw.adfa.edu.au

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